Docket No.: GR 98 P 1507 P

F18/1-12733

hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient ottage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C.

20231, on the date

Date: November 21, 2002

THE INITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Andreas Rusch et al.

Applic. No.

09/685,361

Filed

October 10, 2000

Title

Semiconductor Memory Device and Method for Fabricating It

Examiner

Lynne Ann Gurley -

Art Unit: 2812

Date of Notice of Allowance: August 21, 2002

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

United States Patent No. 5,170,227 (Kaneko et al.), dated December 8, 1992;

United States Patent No. 5,563,098 (Kuo et al.), dated October 8, 1996;

United States Patent No. 5,620,926 (Itoh), dated April 15, 1997.

If no translation of pertinent portions of any foreign language patents or publications mentioned above is included with the aforementioned copies of those applications, patents and/or publications, it is because no existing translation is readily available to

03/11/2003 that apphoents 121099 09685361

01-FC:1460

130.00-EH

06/22/03

Match & Salam

RECEIVED

DEC 0 3 2002

OFFICE OF PETITIONS

It is believed that the enclosed prior art is less pertinent than the prior art previously submitted and cited by the Examiner. Kindly place the references in the Patent Office file wrapper.

Respectfully submitted,

For Applicants

Date: November 21, 2002

RALPH E. LOCHER REG. NO. 41,947

Lerner And Greenberg, P.A. Post Office Box 2480 Hollywood, FL 33022-2480

Tel: (954) 925-1100 Fax: (954) 925-1101

/bmb

RECEIVED
DEC 0 3 2002
OFFICE OF PETITIONS